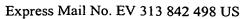
| ase type a plus (+) inside this box +   |                         |   |   |  |   |                             |                     |  |  |
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| <b>3</b>  | Š                       |   |   |  | ed for use through 10/3                           | 1/2002. OMB                 |                     |  |  |
| <b>1</b> 46   | the Paperw              | ork Reduction Act of 1995, no persons are required to respond to                                    | Paten<br>a collect  | t and Trademark (<br>tion of information   | Office: U.S. DEPARTM<br>unless it displays a vali | IENT OF CON<br>d OMB contro | AMERCE<br>I number. |  |  |
| <u> </u>  | 7                       | UTILITY   |   | ney Docket No.   | 11090-067-999                                     | Total Pages                 | <b>b</b>            |  |  |
|   | Ę                       | PATENT APPLICATION  |   | First Name   | d Inventor or Application                         | Identifier                  | 1 C                 |  |  |
|   | •                       | TRANSMITTAL   | Yuri Koval  |  |   |                             | 0.80 E              |  |  |
| -   | (Only for i             | new nonprovisional applications under 37 CFR 1.53(b))   | Express Mail Label No. EV 313 842 498 US                                      |  |   | 3                           | <u> </u>            |  |  |
| APPLICATION ELEMENTS See MPEP chapters 600 concerning utility patent application contents.  |                         |   |   | Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLIC Alexandria, VA 22313-1450 |   |                             | MOI!                |  |  |
| 1. 🛛  |                         | mittal Form<br>n original, and a duplicate for fee processing)                                      | 7. 🔲  | CD-ROM or CD-<br>(Appendix)  | -R in duplicate, large tabl                       | e or Computer I             | Program             |  |  |
| 2. 🛛  | • •                     | claims Small Entity status, see 37 C.F.R. § 1.27  | 8. 🔲  | Nucleotide and/o (if applicable, all   | r Amino Acid Sequence (<br>! necessary)           | Submission                  |                     |  |  |
| 3. 🖾  | •                       | tion (copy from prior application) [Total Pages 22]   | · ·   | uter Readable Form (CRI  |   |                             |                     |  |  |
|   |                         | d arrangement set forth below, MPEP 1503.01) tive title of the Invention                            | b. ☐Specification Sequence Listing on:     i. ☐ CD-ROM or CD-R (2 copies); or |  |   |                             |                     |  |  |
|   | - Cross R               | eference to Related Applications  |   | ii. 🔲 pa   | ` •   | ,,                          |                     |  |  |
|   |                         | nt Regarding Fed sponsored R&D  | c. Statement verifying identity of above copies                               |  |   |                             |                     |  |  |
|   | - Backgro<br>- Brief Su | ound of the Invention  Immary of the Invention  escription of the Drawings (if filed)               | ACCOMPANYING APPLICATION PARTS  |  |   |                             |                     |  |  |
|   | - Detailed              | Description of the Invention (including drawings, if filed)   | 9. 🗆  | Assignment Par   | oers (cover sheet & docur                         | ment(s))                    |                     |  |  |
|   |                         | t of the Disclosure   | 10. 🗆   | 37 CFR 3.73(b) (when there is a  |   | Power of Att                | orney               |  |  |
| 4. 🖾  | Drawing(                | (s) (35 USC 113) (copy from prior application) [Total Sheets 12]                                    | tion Document (if application   | •  |   |                             |                     |  |  |
| 5. 🛛  |                         | Declaration [Total Sheets 4]  | 12. 🖾   | Information Dis<br>Statement (IDS)   | )/PTO-1449  | Copies of ID<br>Citations   | 5                   |  |  |
|   | a. 🔲                    | Newly executed (original or copy)   | 13.   | Preliminary Am   |   |                             |                     |  |  |
|   | b. 🛛                    | Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 18 completed) | 14. 🖾   |  | Postcard (MPEP 503)  cifically itemized)          |                             |                     |  |  |
| S.  | i. 🗌                    | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior           |   |  |   |                             |                     |  |  |
|   |                         | application, see 37 CFR 1.63(d)(2) and 1.33(b).   | 16. 🗆   |  | Request under 35 U.S.C. m PTO/SB/35 or it's equi  |                             | Applicant           |  |  |
| 6.  | Applicati               | on Data Sheet. See 37 CFR 1.76  | 17. 🗆   | Other:   |   |                             |                     |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  |                         |   |   |  |   |                             |                     |  |  |
| ☐ Continuation ☑ Divisional ☐ Continuation-in-part (CIP)   of prior application No.: 10/233,211 filed August 28, 2002.  |                         |   |   |  |   |                             |                     |  |  |
| Prior app   | olication info          | rmation: Examiner: Christopher W. Lattin  |   | Group Art  | t Unit: 2812                                      |                             |                     |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |                         |   |   |  |   |                             |                     |  |  |
| 19. CORRESPONDENCE ADDRESS  |                         |   |   |  |   |                             |                     |  |  |
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FAX



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CA1: 362633.1

| Prior application:                                     |  | n:                                   | Examiner _  | Christopher W. I                     | Lattin             |                          |                 |               |  |
|--|--|--------------------------------------|---|--------------------------------------|--------------------|--------------------------|-----------------|---------------|--|
|  |  |                                      | Art Unit _  | 2812                                 |                    |                          |                 |               |  |
| P.O. I<br>Mail   | nissioner fo<br>Box 1450<br>Stop PATE<br>andria, VA  | ENT AP                               | PLICATION   |                                      |                    |                          |                 |               |  |
| Sir:   |  |                                      |   |                                      |                    |                          |                 |               |  |
| pendi  |  |                                      |   | ng a 🔲 continua<br>I filed on August |                    | l application under 37 ( | CFR§1           | .53(b), of    |  |
| of Yuri Koval  |  |                                      |   |                                      |                    |                          |                 |               |  |
| (inventor(s) currently of record in prior application) |  |                                      |   |                                      |                    |                          |                 |               |  |
| for SUBMICRON CLOSED-FORM JOSEPHSON JUNCTIONS          |  |                                      |   |                                      |                    |                          |                 |               |  |
|  |  |                                      |   | (title                               | of invention)      |                          |                 |               |  |
| 1.   The filing fee is calculated below:               |  |                                      |   |                                      |                    |                          |                 |               |  |
| PATENT APPLICATION FEE VALUE                           |  |                                      |   |                                      |                    |                          |                 |               |  |
|  | TY   | TYPE NO. FILED LESS EXTRA EXTRA RATE |   |                                      |                    | FEE                      |                 |               |  |
|  | Total Claims   |                                      | 9   | - 20                                 | 0                  | \$18.00 each             | \$              | 0.00          |  |
|  | Indepen  | dent                                 | 1   | - 3                                  | 0                  | \$86.00 each             | \$              | 0.00          |  |
|  | Minimum Fee Multiple Department Fee  |                                      |   |                                      |                    |                          |                 | 770.00        |  |
|  | Multiple Dependency Fee If Applicable (\$290.00)   |                                      |   |                                      |                    | \$                       | 0.00            |               |  |
|  | Tota  Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern  Total Filing Fee |                                      |   |                                      |                    |                          | \$              | 770.00        |  |
|  |  |                                      |   |                                      |                    |                          | \$              | 385.00        |  |
|  |  |                                      |   |                                      |                    |                          | <del>_</del> \$ | 385.00        |  |
|  |  |                                      |   |                                      |                    | Total Timing Tee         | <del></del> _   | 303.00        |  |
|  | 2.   |                                      | Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed. |                                      |                    |                          |                 |               |  |
|  | 3a.  |                                      | A Preliminary Amendment is enclosed.  |                                      |                    |                          |                 |               |  |
|  | 3b.  |                                      | A new specifica new matter, is en   |                                      | y identical to the | pending prior applicati  | ion and         | containing no |  |

Priority of application no. 10/233,211 filed on August 28, 2002 is claimed under 35 U.S.C. §119.

New formal drawings are enclosed.

Informal drawings are enclosed.

4a.

4b.

5a.

 $\boxtimes$ 

## PENNIE & EDMONDS LLP DOCKET NO 11090-067-999

| 5b. |             | The certified copy has been filed in pr  | ior application no.  | , filed                              | •                                      |                                    |  |
|-----|-------------|--|--|--------------------------------------|--|------------------------------------|--|
| 6.  |             | The prior application is assigned of re  | cord to .  |                                      |  |                                    |  |
| 7a. |             | A copy of the Power of Attorney filed  | in the prior application   | no.                                  | , filed                                | is enclosed.                       |  |
| 7b. |             | A Power of Attorney is enclosed.   |  |                                      |  |                                    |  |
| 8.  |             | This application contains nucleic acid Sequence Listing under 37 CFR §§1.8 computer readable form from prior ap application as provided for by 37 C.F. as the sequences disclosed in this application no. is enclosed. | 321-1.825. It is requested plication no., filed on both R. §1.821(e). The sequ | ed that the<br>e made a<br>ences dis | e Sequence lapart of the sclosed there | Listing in present in are the same |  |
| 9.  |             | The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no.  |  |                                      |  |                                    |  |
| 10. |             | DO NOT PUBLISH. I hereby certify<br>not and will not be the subject of an apagreement, that requires publication a<br>attached application not be published  | pplication filed in anoth<br>t eighteen months after t                         | er countr<br>filing. Il              | y, or under a                          | a multilateral                     |  |
| 11. | $\boxtimes$ | Additional enclosures or instruction filing fees.  | Please cancel claims 1-2   | 26 and 36                            | 5-37 prior to                          | calculation of                     |  |
|     |             | Date December 11, 2003   | Gary S. Williams PENNIE & EDMON 3300 Hillview Avenu Palo Alto, California      | DS LLP                               |  | 31,066<br>(Reg. No.)               |  |